StoneX Client User Guide

April 2021

The StoneX Group Inc. group of companies provides financial services worldwide through its subsidiaries, including physical commodities, securities, exchange-traded and over-the-counter derivatives, risk management, global payments and foreign exchange products in accordance with applicable law in the jurisdictions where services are provided.

References to over-the-counter ("OTC") products or swaps are made on behalf of StoneX Markets LLC ("SXM"), a member of the National Futures Association ("NFA") and provisionally registered with the U.S. Commodity Futures Trading Commission ("CFTC") as a swap dealer. SXM's products are designed only for individuals or firms who qualify under CFTC rules as an 'Eligible Contract Participant' ("ECP") and who have been accepted as customers of SXM.

StoneX Financial Inc. ("SFI") is a member of FINRA/NFA/SIPC and registered with the MSRB. SFI is registered with the U.S. Securities and Exchange Commission ("SEC") as a Broker-Dealer and with the CFTC as a Futures Commission Merchant and Commodity Trading Adviser. References to securities trading are made on behalf of the BD Division of SFI and are intended only for an audience of institutional clients as defined by FINRA Rule 4512(c). References to exchange-traded futures and options are made on behalf of the FCM Division of SFI.

StoneX is a trading name of StoneX Financial Ltd. StoneX Financial Ltd is registered in England and Wales, Company No. 5616586, authorized and regulated by the Financial Conduct Authority [FRN 446717].

All investments involve risk, including loss of principal. Past performance of any security or strategy is no indication of future success.

All images of the StoneX Client website are for illustrative purposes only.

Inclusion of real stocks and symbols are for reference only, and are not a recommendation to buy, sell, or hold any specific security.

Table of Contents

1. Introduction

StoneX Group Inc. provides investors with online access to their brokerage accounts using **StoneX Client.** This platform allows you to access account information, view transaction details, and access statements, trade confirmations, and tax documents.

StoneX Client is designed specifically for you, the investor, to conveniently provide online account details and data that is accurate, up-to-date, and most importantly, in an environment utilizing best-in-class security protocols in order to protect your account and personal information.

This guide will walk you through the process of accessing and using StoneX Client.

2. Important Terms and Definitions

1. **StoneX Client** – the online portal developed for investors to access and view account data, transaction activity, and account-related statements and documents, including tax information. The portal also serves as a communications source in terms of electronically delivering account documents, disclosure materials, and corporate action alerts. StoneX Client was previously known as Vulcan Client.

2. **StoneX Financial Inc.** – is a clearing broker-dealer, registered member of FINRA and SIPC, that provides custody and clearing services to introducing firms and broker-dealers. Your financial professional utilizes these services to execute orders as it pertains to your brokerage account, and depends on the back office and reporting capabilities that the clearing firm provides. For more information about StoneX Financial Inc. or StoneX Group Inc., please visit www.stonex.com.

3. **Multi-Factor Authentication (MFA)** – a security system enhancement that requires more than one method of authentication in order to verify a user's identity for a login or other transaction.

4. **One-Time MFA Code** – a unique code that users are sent when they are logging into StoneX Client from an unknown computer. This will be sent by a text message or a voice call to the phone number on file. This is not a code that you will be asked to remember, each code is only used once.

5. **Personal Identification Number** – a security code for verifying your identity to the StoneX Client support teams. Similar to a password, your PIN should be kept confidential because it allows access to your user profile and account details.

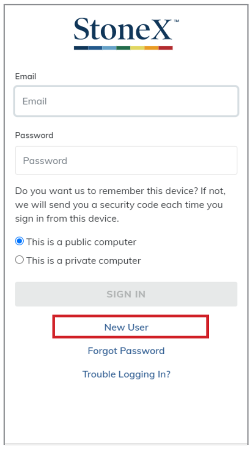
StoneX Client Support

If you have any problems registering for or accessing StoneX Client, contact support at +1 (800) 405-4106, at StoneXClient@stonex.com, or by using the chat feature.

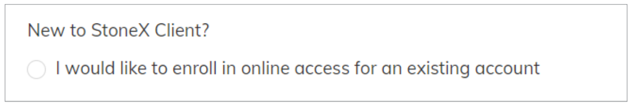
3. Activating StoneX Client

Online access to brokerage accounts held at StoneX Financial Inc. is available to all account holders. Clients can gain access to StoneX Client by using the self-enrollment features. If you request online access when you open an account you will receive an email inviting you to enroll. You can also enroll by going to client.stonex.com directly.

STEP 1: Visit StoneX Client Login Page

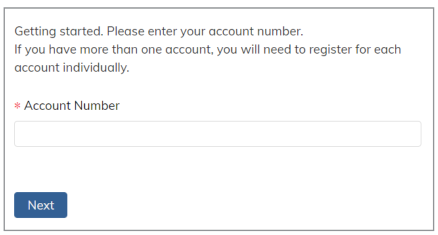


To enroll, first visit client.stonex.com. This will be the page you visit on a regular basis when you want to view your account. Click on the **New User** link to begin enrolling in StoneX Client. Then, select that you would like to enroll in online access.



You will need just a few pieces of information to activate your StoneX Client account and link it to your brokerage account. That information includes your StoneX account number and information about the government identification used to open the account.

STEP 2: Enter Account Number



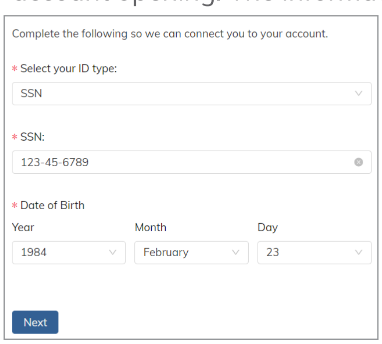
Follow the instructions on the second page and enter your StoneX account number. That number can be found on your initial account opening paperwork, on a statement or trade confirmation, or can be obtained by reaching out to your financial representative.

Enter the number and click **Next**.

If you receive an error at this point, it may be that your account is missing a certain piece of information required to use the self-enrollment feature of StoneX Client. Please contact StoneX Client Support at +1 (800) 405-4106, at StoneXClient@stonex.com, or by using the chat feature to continue activating your StoneX Client account.

STEP 3: Confirm Identification

Each user is securely linked to their brokerage account through the government identification used at account opening. The information required varies by the type of account being enrolled.



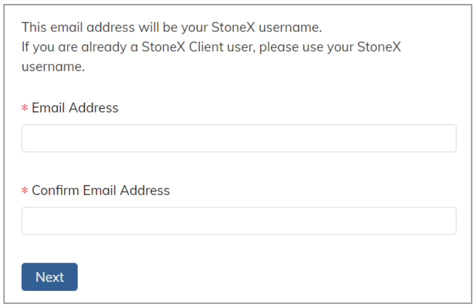
ACCOUNT TYPE	IDENTIFICATION REQUIRED
US Individual / Joint / Retirement	Social Security Number
US Entity	Entity Taxpayer ID, plus account holder identification
Non-US Individual / Joint	Passport, government, or alien ID number
Non-US Entity	Entity Taxpayer ID, plus account holder identification

Provide the required identification number, in addition to the account holder's date of birth and click **Next.**

The information must be entered exactly as it was in our system. If you receive an error message, try entering the identification number again, trying with or without any dashes or other special characters.

Please contact StoneX Client Support at +1 (800) 405-4106, at StoneXClient@stonex.com, or by using the chat feature to get help.

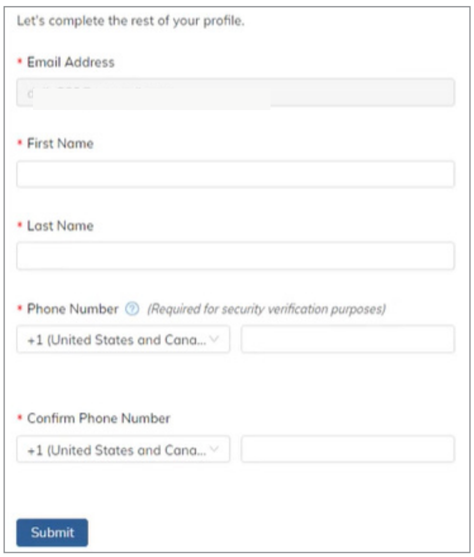
STEP 4: Set StoneX Client Username



After successfully entering in the account information, provide the email address that will become your StoneX Client username.

Enter your email address and click **Next**.

STEP 5: Complete Account Profile



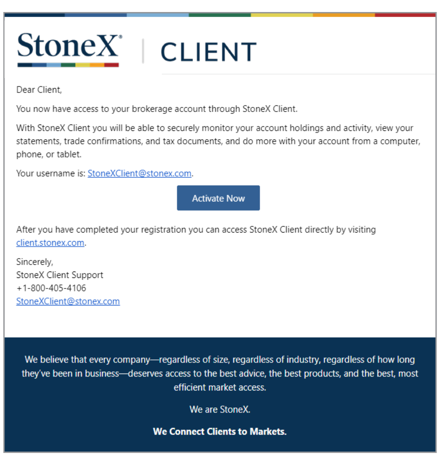
The final step for completing your StoneX Client account profile is to enter your first and last names and to provide a phone number for multi-factor authentication purposes. This phone number will only be used for verification purposes.

Using a mobile phone number is recommended because you will need to have access to this phone when you log into StoneX Client.

Enter your phone number twice and click **Submit**.

At this point you should receive a welcome email from StoneX. Continue on to the next page.

STEP 6: Receive Welcome Email



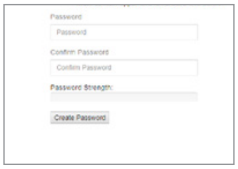
You will receive a confirmation email from StoneX welcoming you to StoneX Client.

Clicking on the ***Activate Now*** link will take you into the StoneX system where you will set your password.

STEP 7:

Create Your Password

The final step in the registration process is to create your password. To ensure passwords are secure, StoneX recommends that you use the following criteria to create your StoneX Client password:



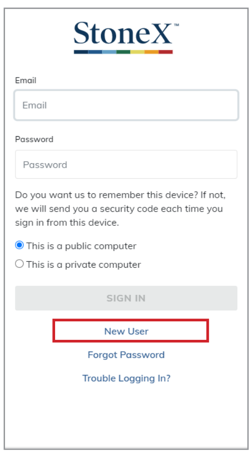
- must be at least 14 characters
- must include at least one number
- must include both an uppercase and lowercase character

Once you click ***Create Password***, your account has been activated and you will be taken to the StoneX Client login page. You can access this page at any time by visiting **client.stonex.com**.

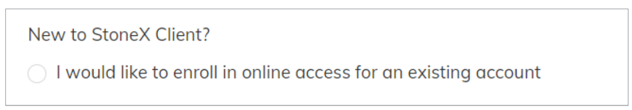
4. Adding an Account to an Existing Profile

STEP 1:

Visit StoneX Client Login Page



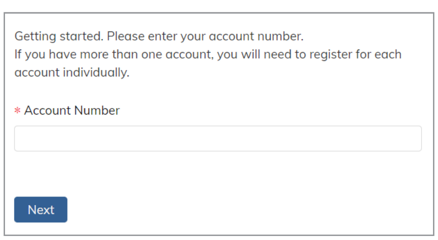
To add an account, first visit **client.stonex.com.** Click on the **New User** link to begin enrolling in StoneX Client. Then, click the button that says you would like to enroll in online access.



You will need just a few pieces of information to add your brokerage account to your StoneX Client account. That information includes your StoneX account number and information about the government identification used to open the account. You will also need your StoneX Client email address and your security PIN.

STEP 2:

Enter Account Number



Follow the instructions on the second page and enter your StoneX account number. That number can be found on your initial account opening paperwork, on a statement or trade confirmation, or can be obtained by reaching out to your financial representative.

Enter the number and click **Next**.

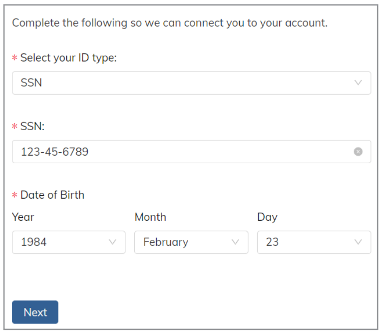
If you receive an error at this point, it may be that your account is missing a certain piece of information required to use the self-enrollment feature of StoneX Client. Please contact StoneX Client Support at +1 (800) 405-4106, at StoneXClient@stonex.com, or by using the chat feature to continue activating your StoneX Client account.

4. Adding an Account to an Existing Profile *(Continued)*

STEP 3:

Confirm Identification

Each user is securely linked to their brokerage account through the government identification used at account opening. The information required varies by the type of account being enrolled.



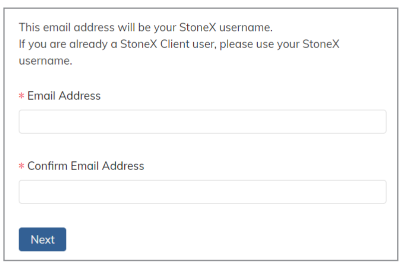
ACCOUNT TYPE	IDENTIFICATION REQUIRED
US Individual / Joint / Retirement	Social Security Number
US Entity	Entity Taxpayer ID, plus account holder identification
Non-US Individual / Joint	Passport, government, or alien ID number
Non-US Entity	Entity Taxpayer ID, plus account holder identification

Provide the required identification number, in addition to the account holder's date of birth and click **Next.**

The information must be entered exactly as it was in our system. If you receive an error message, try entering the identification number again, trying with or without any dashes or other special characters.

STEP 4:

Enter StoneX Client Username

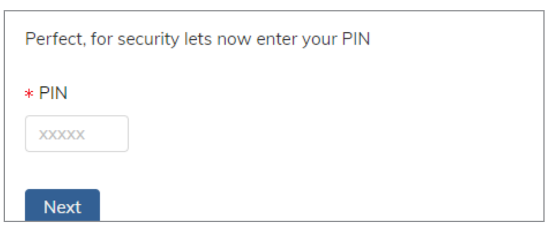


After successfully entering in the account information, provide your StoneX Client username. This is the email address that you use when you log into StoneX Client.

Enter your email address, confirm, and click **Next.**

STEP 5:

Enter Security PIN

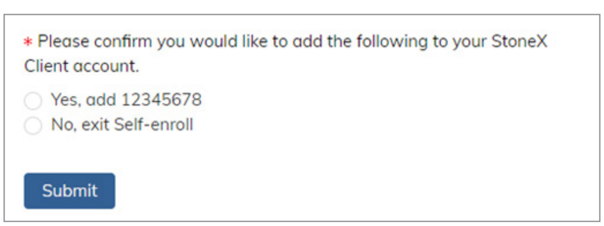


Enter the security PIN you chose the first time you logged into StoneX Client. This will be a five-digit number that was created in case you needed to confirm your identity to the StoneX Client support teams.

Click **Next.**

STEP 6:

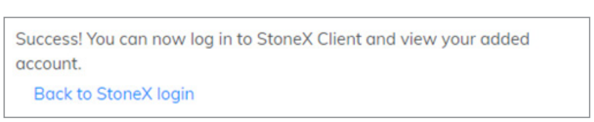
Confirm Account



Confirm that you want to add the listed account to your StoneX Client account and click **Next.**

STEP 7:

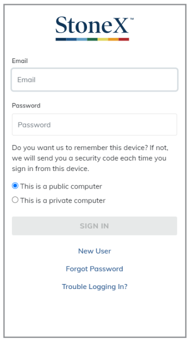
Success



Success! Your newly added account will be available on your StoneX Client profile the net time you log into the platform.

5. Logging Into StoneX Client

STEP 1: Logging Into StoneX Client

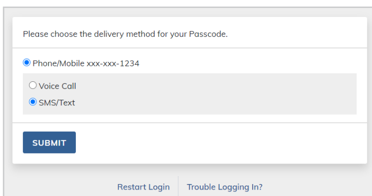


You can access StoneX Client at anytime by visiting client.stonex.com

Enter your email address and password and click ***SIGN IN***.

You have the option to designate the computer or device you are using as a "public" or "private" device. Choosing public means that you will receive a multi-factor authentication (MFA) code every time you log in from that specific computer or device.

STEP 2: Select How to Receive the MFA Code



You will be asked how you want to receive your MFA code after you enter your email address and password. This code will be sent to the phone number shown on the screen either through a voice call or text message.

Select your preference and click ***SUBMIT.***

STEP 3: Enter the MFA Code

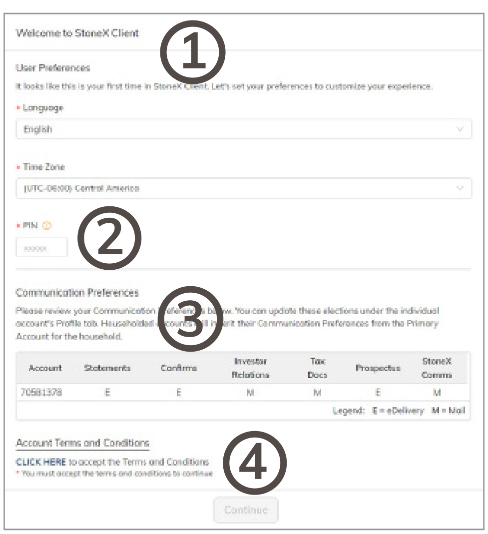
After you receive the MFA code, enter it on the screen and click ***SUBMIT***.

The configuration of some mobile phone providers can cause delays in receiving the MFA code through SMS/text messages. If you do not receive your code, try again with a voice call.

STEP 4: Welcome to StoneX Client

After entering your MFA code you will be taken into StoneX Client where you will be able to securely monitor your brokerage accounts, review transactions, view documents, and more.

On your first visit to StoneX Client:

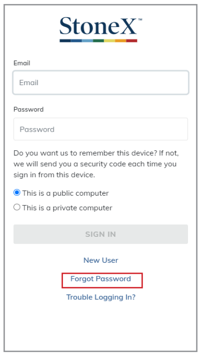


1. Set your preferences including your preferred language and time zone.
2. Provide a five-digit personal identification number (PIN) that will be used to help verify your identity to the StoneX Client support teams. You will need this PIN if you need to reach out ot support teams. Similar to a password, your PIN should be kept confidential because it allows access to your user profile and account details.
3. Review your communication preferences. If you would like to change how you receive a certain document, you can do that inside StoneX Client.
4. Accept account terms and conditions. Click the link to open the terms and conditions. Review and accept the terms to continue to use StoneX Client. You must scroll down to the bottom of the terms in order to accept.
5. Click continue to access StoneX Client and view your brokerage accounts online.

6. Resetting or Changing a Password

The *Forgot Password* process can be used if you want to change your existing password, in addition to if you forgot your password.

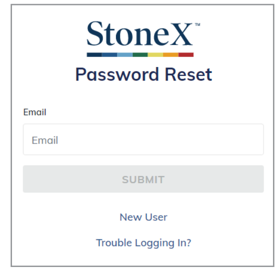
STEP 1: Click the Forgot Password Link



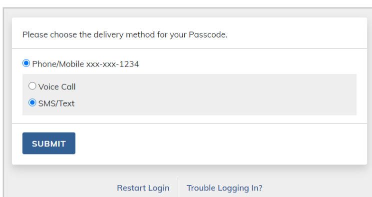
The StoneX Client login page can be found at [client.stonex.com.](client.stonex.com)

If you forget your password or simply want to change it, click the ***Forgot Password*** link.

Enter your email address and click ***Submit.***



STEP 2: Select How to Receive the MFA Code



In order to verify your identity, you will have to receive a MFA code. This code will be sent to the phone number shown on the screen either through a voice call or text message.

Select your preference and click ***SUBMIT.***

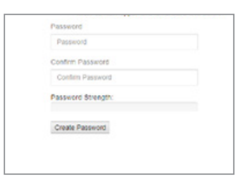
STEP 3: Enter the MFA Code

After you receive the MFA code, enter it on the screen and click ***SUBMIT***.

The configuration of some mobile phone providers can cause delays in receiving the MFA code through SMS/text messages. If you do not receive your code, try again with a voice call.

STEP 4: Create a New Password

The final step in the registration process is to create your password. To ensure passwords are secure, StoneX recommends that you use the following criteria to create your StoneX Client password:



- must be at least 14 characters
- must include at least one number
- must include both an uppercase and lowercase character

Once you click ***Create Password***, your password has been updated and you will be returned to the StoneX Client login page.

StoneX Client Support

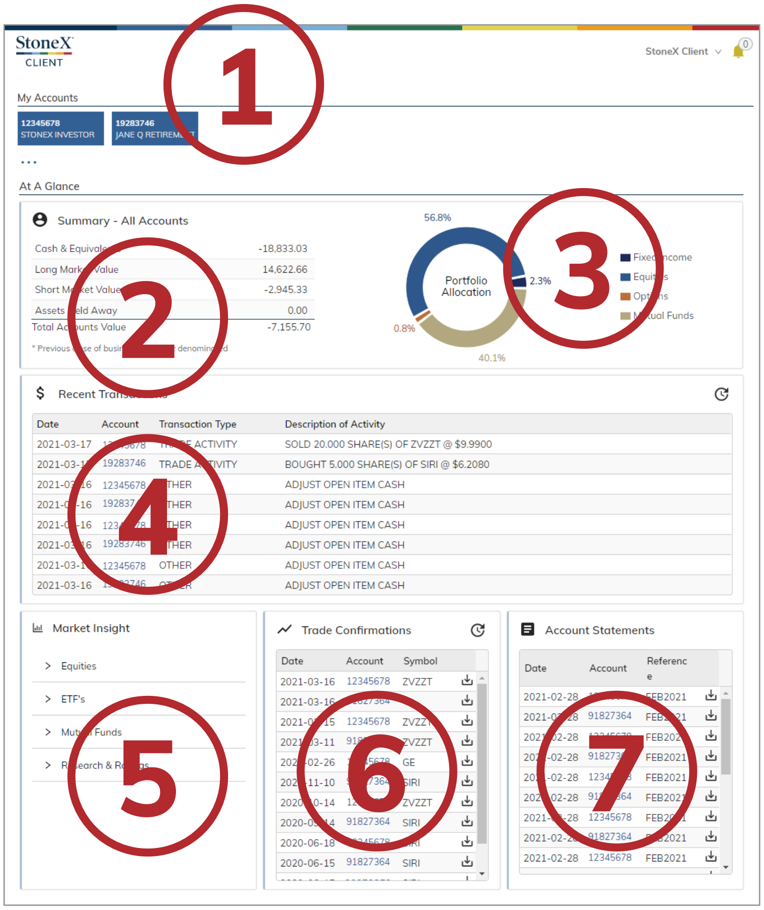
If you have any problems registering for or accessing StoneX Client, contact support at +1 (800) 405-4106, at StoneXClient@stonex.com, or by using the chat feature.

7. Using StoneX Client

HOME

Features of the Home Screen

The Home screen will be your welcome to StoneX Client whenever you log into the platform. From here you can easily view your combined account balances, recent transactions, and quick links to trade confirmations and account statements.



1. A list of all accounts you have access to on StoneX Client.
2. A summary of the combined balances of your accounts.
3. A chart showing the types of holdings in your combined portfolio.
4. A list of recent transactions in all accounts
5. A tool to find market insights and do research into various securities
6. A list of recent trade confirmations from all accounts.
7. A list of recent account statements from all accounts.

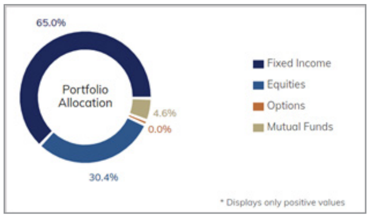
1. My Accounts

This is section shows a list of all accounts you have access to on StoneX Client. Each is listed with the account number and the title of the account. The first eight accounts will be listed, if you have additional accounts they can be found by clicking the ••• . Open individual accounts by clicking on the account name.

2. Summary at a Glance

The summary section shows the combined balances of all of the accounts in your portfolio. The Total Accounts Value is broken into Cash & Equivalents, Long Market Value, Short Market Value, and Assets Held Away.

3. Allocation Breakdown



The holdings of the account are show in a chart next to the Summary section. The allocation is broken down by Fixed Income, Equities, Options, and Mutual Funds. Only positive values are shown.

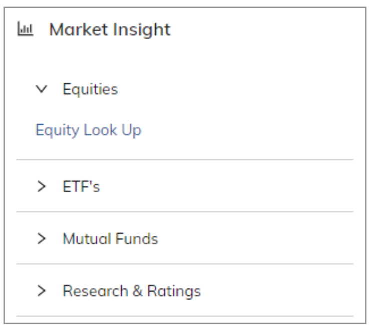
4. Recent Transactions

$ Recent Transactions

Date	Account	Transaction Type	Description of Activity
2021-03-17	12345678	TRADE ACTIVITY	SOLD 20.000 SHARE(S) OF ZVZZT @ $9.9900
2021-03-17	19283746	TRADE ACTIVITY	BOUGHT 5.000 SHARE(S) OF SIRI @ $6.2080
2021-03-16	12345678	OTHER	ADJUST OPEN ITEM CASH
2021-03-16	19283746	OTHER	ADJUST OPEN ITEM CASH
2021-03-16	12345678	OTHER	ADJUST OPEN ITEM CASH
2021-03-16	19283746	OTHER	ADJUST OPEN ITEM CASH
2021-03-16	12345678	OTHER	ADJUST OPEN ITEM CASH
2021-03-16	19283746	OTHER	ADJUST OPEN ITEM CASH

The eight most recent transactions in the portfolio are show in the Recent Transactions section. This section shows all activity including trade activity, dividend and interest payments, and sweep account transactions. The section shows the date of the transaction, the account number, the type, and a description of the activity. Clicking on the account number will open that account and clicking on the icon updates the list.

5. Market Insight



Research equities, ETFs, and mutual funds with the Market Insight tool. Search for individual securities and see history, news, charts, options chains, and comparisons. Open the Research & Ratings sections to see analyst ratings, estimates, and overviews of the broader market.

6. Trade Confirmations

Date	Account	Symbol
2021-03-16	12345678	ZVZZT
2021-03-16	91827364	
2021-03-15	12345678	ZVZZT
2021-03-11	91827364	ZVZZT
2021-02-26	12345678	GE
2020-11-10	91827364	SIRI
2020-10-14	12345678	ZVZZT
2020-09-14	91827364	SIRI
2020-06-18	12345678	SIRI
2020-06-15	91827364	SIRI

You can view and download the recent trade confirmations for all accounts directly from the home screen. Unlike the Recent Transactions section, only settled equity and option trades are shown in this section. The date, account number, and symbol are shown and you can download the trade confirmation by clicking the icon.

6. Account Statements

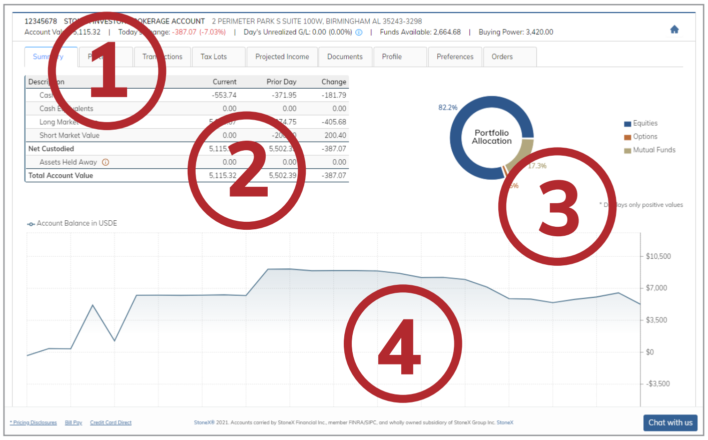
Date	Account	Reference
2021-02-28	12345678	FEB2021
2021-02-28	91827364	FEB2021
2021-02-28	12345678	FEB2021
2021-02-28	91827364	FEB2021
2021-02-28	12345678	FEB2021
2021-02-28	91827364	FEB2021
2021-02-28	12345678	FEB2021
2021-02-28	91827364	FEB2021
2021-02-28	12345678	FEB2021

The Account Statements section is structured in the same way that the Trade Confirmations is structured. The most recent statements for all accounts are shown. You can download an individual statement by clicking on the icon.

SUMMARY

Features of the Account Summary

Clicking on any of the accounts on the Home screen takes you into the account's summary. The default view is in the Summary tab, but you can click the other tabs at any time to view more information about the account and its holdings.



1. Account title, address, balance and other summarized information
2. Account Holdings
3. Portfolio Allocation
4. Balance Over Time

1. Account Information

12345678 STONEX INVESTOR BROKERAGE ACCOUNT 2 PERIMETER PARK S SUITE 100W, BIRMINGHAM AL 35243-3298
Account Value: 5,115.32 | Today's Change: -387.07 (-7.03%) | Day's Unrealized G/L: 0.00 (0.00%) | Funds Available: 2,664.68 | Buying Power: 3,420.00

The full account title along with the mailing address are shown at the top of each account page. Below that information are quick pieces of information about the account and its holdings including gains and losses, available funds, and margin buying power.

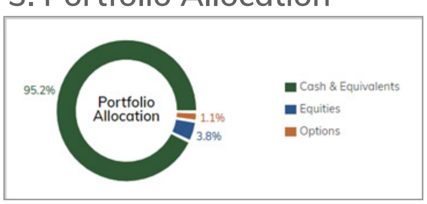
2. Account Holdings

Description	Current	Prior Day
Cash	9,719.30	9,813.63
Cash Equivalents	0.00	0.00
Long Market Value	1,617.45	1,526.66
Short Market Value	-4,806.88	-4,806.88
Net Custodied	6,529.87	6,533.41
Assets Held Away	0.00	0.00
Total Account Value	6,529.87	6,533.41

The account balances are broken down by Cash, Cash Equivalents, Long Market Value, Short Market Value, and Assets Held Away.

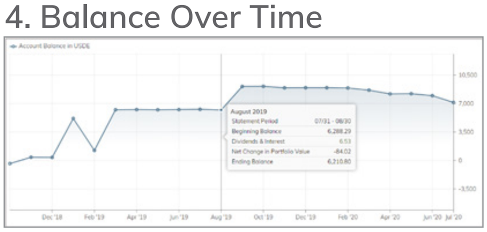
The Assets Held Away value is presented for information purposes only and the balances have not been independently verified by StoneX Financial Inc. Additionally, the values may not reflect the actual amount that would be realized upon liquidation of that security.

3. Portfolio Allocation



A chart shows the portfolio's holdings broken down by the type of security. Cash & Equivalents, Equities, Options, and other types of securities are shown to help you manage how your portfolio is currently allocated.

4. Balance Over Time



The balance and changes in account values are shown in the chart at the bottom of the screen.

Hovering over any point on the chart shows the monthly Beginning and Ending Balances, as well the change in the portfolio value, and any movements of funds into or out of the account.

PORTFOLIO

Features of the Portfolio Section

The Portfolio tab shows the current holdings in the account. The holdings can be sorted by choosing one in the Product Type menu. Historical holdings can be viewed by clicking on the date and selecting the date you want to view.

Date: 2021-02-09 Product Type: All

	Symbol/ ID	Description	Quantity	Last Price	Local Value	USD Value	Today's Gain/Loss	Local Currency	Exchange Rate	SEDOL	Secur Numb
CE	N/A	CASH & EQUIVALENTS			2,305.21	2,305.21		USD			
CE	N/A	CASH & EQUIVALENTS			-954.10	-1,149.65		EUR	1.2050		
MF	G5648E786	LORD ABBETT SHORT DURATION INCOME CL Z...	4.908	11.6100	56.98	56.98		USD			10
MF	L22875105	DWS INDIA EUR ACC CL LC	0.020	3,460.2100	72.31	87.14		EUR	1.2050	7561243	17
MF	D19803101	DWS VERMOEGENSBILDUNGSFONDS CL LD DIS ...	0.000	253.2472	0.05	0.05		USD		BF3B9L8	17
MF	D19803101	DWS VERMOEGENSBILDUNGSFONDS CL LD DIS ...	2.469	253.2472	625.44	753.63		EUR	1.2050	BF3B9L8	17
MF	L2657E199	DWS INVEST I GLOBAL EMERGING MARKETS E...	0.258	356.2400	92.08	110.96		EUR	1.2050	B1YHGJ4	18
EQ	F	FORD MOTOR CO NEW	4.000	11.9300 ▲	47.72	47.72	1.48	USD		2615468	20
MF	G47056109	H20 GLOBAL MULTI AGGREGATE CL R USD	0.825	156.3500	128.98	128.98		USD			22
MF	L6368K766	MFS MERIDIAN GLOBAL INTRINSIC VALUE CL...	2.972	14.5100	43.12	43.12		USD			25
MF	L6365X431	MFS MERIDIAN U S VALUE CL N1 USD	2.589	19.0600	49.34	49.34		USD		B8SW519	26
EQ	ZVZZT	NASDAQ TEST STOCK	5.000	10.0200 ▼	50.10	50.10		USD			27
MF	G6431K385	NEUBERGER BERMAN 5G CONNECTIVITY CL I ...	3.381	17.4500	58.99	58.99		USD			28
MF	L798L4542	ROBECO HIGH YIELD BONDS CL DH USD ACC	0.228	185.3800	42.39	42.39		USD		B9SL2G7	32
EQ	SIRI	SIRIUS XM HLDGS INC	6.000	6.0600 ▲	36.36	36.36	0.11	USD		BGLDK10	33
EQ	TSLA	TESLA INC	5.000	849.4600 ▼	4,247.30	4,247.30	-69.80	USD		B616C79	35

COLUMN	DESCRIPTION
Product	OP Option EQ Equity MF Mutual Fund CE Cash
Symbol / ID	The ticker symbol or other identifier of the security.
Description	The name of the security, including information about strike price and expiration for options.
Quantity	Number of shares or contracts.
Last Price	The most recent price for the security. Securities that are actively traded are shown in blue and the direction is indicated with arrows next to the price.
Local Value	The total value of the holding, in the local currency.
USD Value	The total value of the holding, in US dollars.
Local Currency	The local currency of the holding.
Exchange Rate	The exchange rate of the holding in USD. Blank if in USD.
SEDOL	Stock Exchange Daily Official List, used for identifying securities in the United Kingdom and Ireland.
Security Number	A unique identifier of the security.

TRANSACTIONS

Features of the Transactions Section

The Transactions tab shows the recent activity in the account. The previous month's transactions are shown when you open the tab but you can change the range to clicking on the dates and selecting new dates. You can also click the ⋮ icon and select from specific ranges (This week, This month, etc.) The transactions can also be sorted by the type of transactions.

Date Range: 2021-01-10 ~ 2021-02-09 Transaction Type: All Expand

Date	Amount	Transaction Type	Description
2021-02-09	25.95	TRADE ACTIVITY	SOLD 4.000 SHARE(S) OF ZVZZT @ $9.9900
2021-02-09	-54.08	TRADE ACTIVITY	BOUGHT 4.000 SHARE(S) OF ZVZZT @ $10.0200
2021-02-09	-64.10	TRADE ACTIVITY	BOUGHT 5.000 SHARE(S) OF ZVZZT @ $10.0200
2021-02-08	26.12	TRADE ACTIVITY	SOLD 1.000 SHARE(S) OF ZVZZT @ $10.0200
2021-02-08		EXERCISE & EXPIRATION	CALL SIRIUS XM HLDGS INC
2021-02-08	23.24	OTHER	ADJUST OPEN ITEM CASH
2021-02-08	24.27	OTHER	ADJUST OPEN ITEM CASH
2021-02-08	47.95	OTHER	ADJUST OPEN ITEM CASH
2021-02-08	70.00	OTHER	ADJUST OPEN ITEM CASH
2021-02-08	-24.27	OTHER	ADJUST OPEN ITEM CASH
2021-02-08	-23.24	OTHER	ADJUST OPEN ITEM CASH
2021-02-08	-70.00	OTHER	ADJUST OPEN ITEM CASH

Clicking on the Expand button will allow you to see additional information about each transaction, including:

Expand

- Action
- Quantity
- Symbol / ID
- Price
- Base Currency
- Account Type
- Security Type
- As of Date
- Trade Date
- Settle Date
- Principal
- Interest
- Cancel Status
- Rebill Status
- Control Number
- SEDOL
- Security Number

ORDERS

Features of the Orders Section

The Orders section is where you are able to see any open orders, including any orders that are partially filled.

You are able to see detailed information about each order, including the type, price and any applicable limits, and the quantity left to be filled. Once a trade is fully completed or is canceled, it will no longer be shown on this screen.

	Order Date	Symbol/ID	Action	Order Type	Limit Price	Stop Price	Open/Close	Quantity	Leaves	Quantity Qualifier	Status	TIF	Acct Type	Order Number
EQ	2021-01-28	SIRI	BUY	LIMIT	4.98			2.000	2.000		OPEN	GTC	CASH	22239206
EQ	2021-03-19	SIRI	BUY	LIMIT	3.00			1.000	1.000		OPEN	GTC	CASH	42415575

TAX LOTS

Features of the Tax Lots Section

The Tax Lots section allows you to see the history of all holdings in your account, including the performance of those holdings. The default screen shows a summary of the open positions in your account, you can also choose to view the closed positions. The displayed values are as of the previous day's close of business.

Status: Open Displayed values are as of the previous day's close of business

Open
Closed

	S	n	Total Quantity	Total Cost $3,971.64	Total Market Value $5,569.35	Total Unrealized G/L $1,597.71
›	D	MOEGENSBILDUNGSFONDS CL LD DIS EUR	2.470	895.82	625.49	-270.33
›	F	FORD MOTOR CO NEW	4.000	52.62	46.24	-6.38
›	G47056109	H20 GLOBAL MULTI AGGREGATE CL R USD	0.825	120.81	128.98	8.17
›	G5648E786	LORD ABBETT SHORT DURATION INCOME CL Z ACC USD	4.908	69.20	56.98	-12.22
›	G6431K385	NEUBERGER BERMAN 5G CONNECTIVITY CL I ACC USD	3.381	80.50	58.99	-21.51
›	L22875105	DWS INDIA EUR ACC CL LC	0.021	96.26	72.31	-23.95
›	L2657E199	DWS INVEST I GLOBAL EMERGING MARKETS EQUITIES LD	0.258	83.11	92.08	8.97
›	L6365X431	MFS MERIDIAN U S VALUE CL N1 USD	2.589	76.96	49.34	-27.62
›	L6368K766	MFS MERIDIAN GLOBAL INTRINSIC VALUE CL N1 USD	2.972	40.00	43.12	3.12
›	L798L4542	ROBECO HIGH YIELD BONDS CL DH USD ACC	0.229	36.60	42.39	5.79
›	SIRI	SIRIUS XM HLDGS INC	6.000	110.65	36.33	-74.32
›	TSLA	TESLA INC	5.000	2,309.11	4,317.10	2,007.99

Features of the Tax Lots Section *Continued*

Clicking on the > icon next to the symbol will expand the holding to see the full history of that holding, including each purchase or sale, unrealized gain and loss, and yield.

F	FORD MOTOR CO NEW				4.000	52.62	46.24		-6.38

Open Date	Term	Quantity	Total Cost	Adjusted Cost	Market Value	Last Price	Unrealized G/L	Unrealized G/L %	Yield
2020-04-02	SHORT	1.000	20.35	0.00	11.56	11.5600	-8.79	-43.19	0.000
2020-04-21	SHORT	2.000	11.39	0.00	23.12	11.5600	11.73	102.99	0.000
2020-04-24	SHORT	1.000	20.88	0.00	11.56	11.5600	-9.32	-44.64	0.000
		4.000	52.62	0.00	46.24		-6.38		

PROJECTED INCOME

Features of the Projected Income

The Projected Income tab shows anticipated upcoming dividends from securities in your portfolio. The projected income is shown first by month and then by each security.

Monthly Projections

* Disclosures

Feb 2021	Mar 2021	Apr 2021	May 2021	Jun 2021	Jul 2021	Aug 2021	Sep 2021	Oct 2021	Nov 2021	Dec 2021
0.07	0.00	0.00	0.07	0.00	0.00	0.07	0.00	0.00	0.07	0.00

Security Projections

Income	Yield	Frequency	Quantity	Security	Description	Type	Payment Date	Maturity Date
0.07	0.967	QUARTERLY	6.000	SIRI	SIRIUS XM HLDGS INC	COMMON STOCK	2021-02-26	

DOCUMENTS

Features of the Documents Section

The Documents tab holds all types of documents related to your account and your transactions, including checks, confirmations, and tax forms.

> Statements
> Checks
> Trade Confirmations
> Tax Forms

Clicking on the > icon will expand each section and allow you to view the individual documents inside. Click on the icon to download and save it to your computer or click on the icon to view the document without downloading.

Statements

Actions	Date	Reference
	2020-07-31	JUL2020
	2020-06-30	JUN2020
	2020-05-31	MAY2020
	2020-04-30	APR2020
	2020-03-31	MAR2020

PROFILE

Features of the Profile Section

The Profile tab contains information about you and your account, your investment objectives, and preferences.

Account Information

Account Name:		Home Phone:	
Tax ID:	899999999	Alternate Phone:	
Foreign ID:		Email:	
Address:	2 PERIMETER PARK S SUITE 100W BIRMINGHAM AL 35243-3298	Date of Birth:	

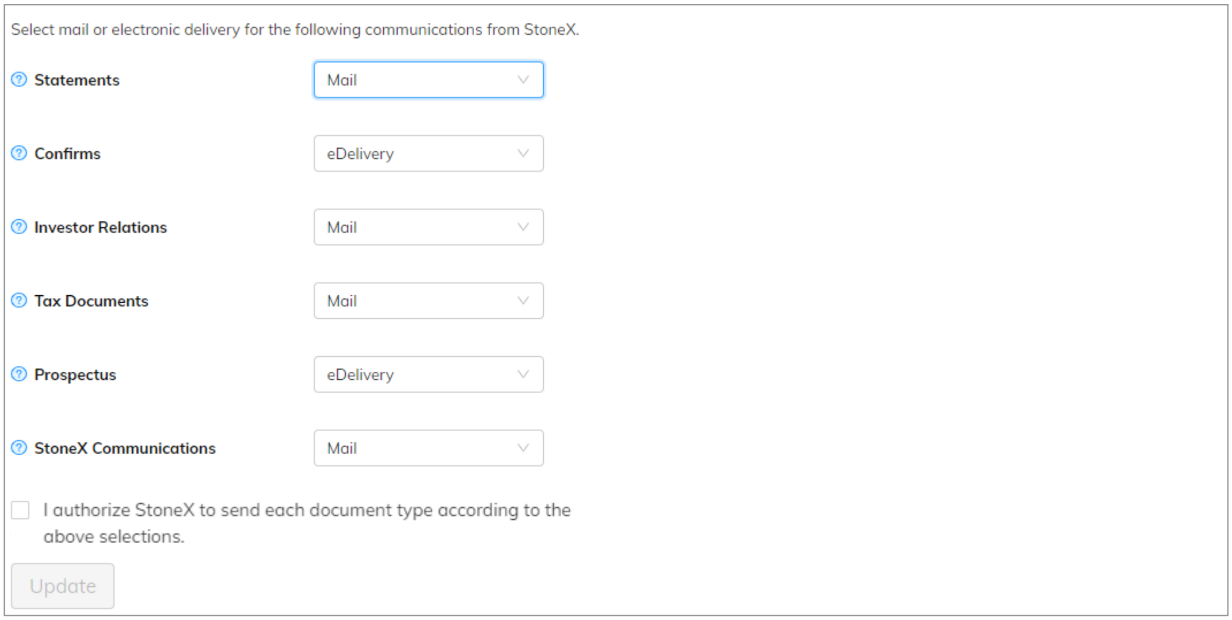
> Account Registration
> Account Setup

PREFERENCES

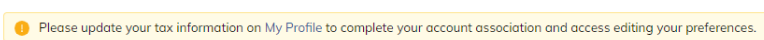
Features of the Preferences Section

The Preferences section is where you are able to set your delivery preferences for documents and communications and opt for eDelivery.

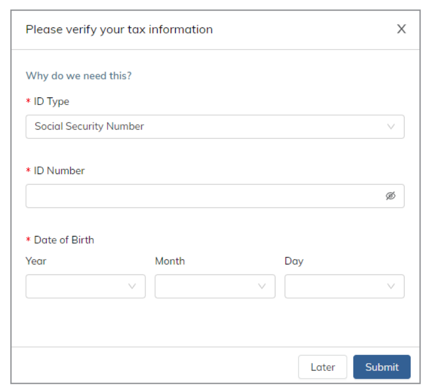
You are able to individually customize your delivery options and select to receive each type of documents by mail or eDelivery. You will receive notice via email when you have documents available that are set for eDelivery.



The delivery preferences are only available if you have confirmed your tax and identification information within StoneX Client. If you have not yet confirmed that information, the options will be unable to be selected and you will see the following message.



Either click the link to open My Profile or click on your name at the top right of the screen to enter the My Profile section. Inside that section you will be asked to provide your government identification and date of birth. After you provide the correct information, you will be able to update your delivery preferences.



8. Frequently Asked Questions

FAQ

How do I choose to receive my statements and other documents electronically?

You are able to customize how you want to receive each type of document, choosing either to receive it by mail or electronically. Open the account you want to update by clicking on the account number on the Home screen, then click on the Preferences tab for that account. Choose how you would like to receive each type of document, then click the check box and click Update to confirm your choices.

Account statements, checks, trade confirmations, and tax forms will be available within StoneX Client, even if you have chosen to receive them by mail.

How do I view the gain or loss for a particular holding in my account?

Open the account you want to view by clicking on the account number on the Home screen, then click on the Tax Lots tab. You can view the entire history of each holding by clicking on the > icon next to the security's symbol.

How do I find my statements, trade confirmations, and other documents?

Open the account you want to view by clicking on the account number on the Home screen, then click on the Documents tab. The documents are sorted there by Statements, Checks, Trade Confirmations, Tax Forms, and Account Documents.

StoneX Client Support

If you have any problems registering for or accessing StoneX Client, contact support at +1 (800) 405-4106, at StoneXClient@stonex.com, or by using the chat feature.